

# PREA AUDIT: AUDITOR'S SUMMARY REPORT

## ADULT PRISONS & JAILS

NATIONAL  
**PREA**  
RESOURCE  
CENTER



BJA

Bureau of Justice Assistance  
U.S. Department of Justice

**[Following information to be populated automatically from pre-audit questionnaire]**

<b>Name of facility:</b>	Federal Correctional Institution- Phoenix		
<b>Physical address:</b>	37900 N. 45 <sup>th</sup> Drive, Phoenix, Arizona 85086		
<b>Date report submitted:</b>	8 October, 2013 (Final report 4 April, 2014)		
<b>Auditor Information</b>	<b>Jack Falconer</b>		
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<b>Email:</b>	<a href="mailto:jfalconer1@cox.net">jfalconer1@cox.net</a>		
<b>Telephone number:</b>	602-374-3320		
<b>Date of facility visit:</b>	24-26 September, 2013		
<b>Facility Information</b>			
<b>Facility mailing address:</b> <i>(if different from above)</i> <div style="text-align: center; margin-top: 20px;">.</div>			
<b>Telephone number:</b>	623-465-9757		
<b>The facility is:</b>	<input type="checkbox"/> Military	<input type="checkbox"/> County	<input checked="" type="checkbox"/> Federal
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		
<b>Facility Type:</b>	<input type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison	
<b>Name of PREA Compliance Manager:</b>	Josias Salazar	<b>Title:</b>	Associate Warden/PREA Compliance Manager
<b>Email address:</b> <a href="mailto:jxxsalazar@bop.gov">jxxsalazar@bop.gov</a>	623-465-9757, ext 5110	<b>Telephone number:</b>	
<b>Agency Information</b>			
<b>Name of agency:</b>	Federal Bureau of Prisons		
<b>Governing authority or parent agency:</b> <i>(if applicable)</i>	United States Department of Justice		

<b>Physical address:</b>	320 First St., NW, Washington, DC 20534		
<b>Mailing address:</b> <i>(if different from above)</i>			
<b>Telephone number:</b>	202-307-3198		
<b>Agency Chief Executive Officer</b>			
<b>Name:</b>	Charles E. Samuels	<b>Title:</b>	Director
<b>Email address:</b>	csamuels@bop.gov	<b>Telephone number:</b>	202-307-3250
<b>Agency-Wide PREA Coordinator</b>			
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## AUDIT FINDINGS

### NARRATIVE:

The PREA audit of the Federal Correctional Institution- Phoenix was conducted on September 24-26, 2013. An entrance meeting was held where introductions were made. The following FCI Phoenix and Bureau of Prisons staff was in attendance:

Conrad M. Graber, Warden

Josias Salazar, Associate Warden Operations

Steven Merlak, Associate Warden, Programs

Clarence DeGroot, Acting Associate Warden, I & E

Duane Gifford, Captain

Rapunzel Stephens-Clements, Executive Assistant/Camp Administrator

Gary Bissett, Safety Manager

Serena Fox-White, Associate Warden's Secretary

Fred Moreno, Facilities Manager

Benjamin Fajardo, General Foreman

Kristen Matheson, Acting Mesa Unit Manager

Mike Stone, Management Analyst External Liaison

Jason Stiles, Management Analyst External Liaison

Crystal Smith, Section Chief Program Review Division

Ray Rice, Facilities ACA Division

Mike Lucas, Examiner Reviewer-In-Charger

Chad Schickel, Assistant Program Reviewer

Jack Falconer, PREA Auditor

It is the mission of the Federal Correctional Institution-Phoenix, to house federal inmates in a safe, humane, cost-efficient, and appropriately secure institution and to provide necessary and appropriate medical, dental, and mental health services to inmates by professional staff and to provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens.

The Federal Correctional Institution and the Federal Prison Camp-Phoenix is located approximately 2 miles west of Interstate 17 at the Pioneer Road exit approximately 10 miles north of the Phoenix, Arizona city limits,

The institution's grounds encompass 225 acres and approximately 44 acres within the secure perimeter. The FCI, constructed in 1984, is a medium security, male institution housing general population inmates. The facility also provides a Special Housing Unit (SHU), a Protective Custody Unit, and a Federal Prison Camp (FPC) for female offenders.

The FCI inmate housing consists of seven cell type housing units in four buildings. The units are Mojave (which has a RDAP program), Navajo, Pima, and Yuma (which has an annex for holdover inmates). Each unit provides basic furnishings, common shower facilities, and common TV areas. The seven units are supervised by two Unit Managers. All showers at the FCI have doors to enhance privacy.

The Federal Prison Camp, dedicated in June, 1989, is a minimum security facility with 280 beds. The Camp has four housing units in two buildings. The units are Hopi and Papago. The Camp is staffed with one Camp administrator, one Unit Manager, two Case Managers, two Counselors, and one Secretary. The Camp's mission is to provide support services to the main institution and outside facilities. The Camp has spaces for food service, recreation and programs.

The Special Housing Unit (SHU) provides 79 segregation beds. A Protective Custody Unit (PCU) is also available.

The FCI is surrounded by two chain link fences with razor wire and an electronic intrusion alarm system. Two armed vehicles patrol the perimeter 24/7. A Control Center monitors all traffic entering and exiting the facility.

The ancillary support structures provide spaces for administration, central control, visiting, food service, education, medical, commissary, maintenance, laundry, recreation, Chapel, and correctional industries (UNICOR). Educational Programs include Basic Literacy, General Educational Development (GED), and English as a Second Language. Post-secondary education is also available to the inmate population

The FCI has eight vocational and apprenticeship training programs available to the inmate population. The FCI has several drug abuse programs. These include, Residential Drug Abuse Treatment Program (RDAP), Non-Residential Abuse Program (NR-DAP), and Drug Education.

The FCI has a Federal Prison Industries Program (UNICOR) that employs 150 inmates. The inmates manufacture electronic components primarily for the Department of Defense. There are also recreational activities, religious, and social services available.

## DESCRIPTION OF FACILITY CHARACTERISTICS:

Facility Demographics	<u>FCI/FPC</u>
Rated Capacity:	1046
Actual Population:	1349
Avg Daily Population (Last 12 months):	1429
Average Length of stay (yrs):	7.1 yrs (FCI) 4.6 yrs (FPC)
Security/Custody level:	Medium (FCI) Minimum (FPC)
Age range of offenders (yrs):	18-73(FCI) 22-78(FPC)
Number of full time staff:	
Admin	7
Security	131
Programs	64
Other	109
Total	311

During the three days the auditor toured the entire FCI and conducted formal staff and inmate interviews. 10 random inmates (both male and female) from the housing units, 15 specialized staff and 10 Correctional Officers were questioned using the standardized questions provide in the audit documents.

The Pre-Audit document provided by the facility indicated zero issues with staff sexual abuse or sexual harassment of inmates. The document did indicate that the Investigators (SIS) did investigate 3 allegations of inmate on inmate sexual abuse. Two of the allegations were thoroughly investigated with a finding that they could not be substantiated. The third allegation is still in the process of investigation.

The interviewed inmates and staff indicated that the FCI was a safe place to do time and to work. There were no complaints received by the auditor.

In this auditor's opinion, the FCI was very well managed; the staff was well trained, and was professional in their assignments. The PREA Compliance Manager was very knowledgeable about the PREA requirements and was considered very effective in meeting the requirements of PREA.

**SUMMARY OF AUDIT FINDINGS:**

Number of standards exceeded:	0
Number of standards met:	42
Number of standards not met:	0
Number of standards not applicable	1
Total	43

**§115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**§115.12 - Contracting with other entities for the confinement of inmates**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.13 – Supervision and Monitoring**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.14 – Youthful Inmates**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Not Applicable- No one under 18 years of age at this facility

### **§115.15 – Limits to Cross-Gender Viewing and Searches**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.16 – Inmates with Disabilities and Inmates who are Limited English Proficient**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.17 – Hiring and Promotion Decisions**

- Exceeds Standard (substantially exceeds requirement of standard)
- X  Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.18 – Upgrades to Facilities and Technology**

- Exceeds Standard (substantially exceeds requirement of standard)
- X  Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.21 – Evidence Protocol and Forensic Medical Examinations**

- Exceeds Standard (substantially exceeds requirement of standard)
- x  Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.22 – Policies to Ensure Referrals of Allegations for Investigations**

- Exceeds Standard (substantially exceeds requirement of standard)
- X  Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.31 – Employee Training**

- Exceeds Standard (substantially exceeds requirement of standard)
- x  Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.32– Volunteer and Contractor Training**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.33 – Inmate Education**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.34 – Specialized Training: Investigations**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.35 – Specialized training: Medical and mental health care**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.41 – Screening for Risk of Victimization and Abusiveness**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.42 – Use of Screening Information**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.43 – Protective Custody**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.51 – Inmate Reporting**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.52 – Exhaustion of Administrative Remedies**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.53 – Inmate Access to Outside Confidential Support Services**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.54 – Third-Party Reporting**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.61 – Staff and Agency Reporting Duties**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.62 – Agency Protection Duties**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.63 – Reporting to Other Confinement Facilities**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.64 – Staff First Responder Duties**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.65 – Coordinated Response**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.66 – Preservation of ability to protect inmates from contact with abusers**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.67 – Agency protection against retaliation**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.68 – Post-Allegation Protective Custody**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.71 – Criminal and Administrative Agency Investigations**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.72 – Evidentiary Standard for Administrative Investigations**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.73 – Reporting to Inmate**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.76 – Disciplinary sanctions for staff**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.77 – Corrective action for contractors and volunteers**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.78 – Disciplinary sanctions for inmates**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.81 – Medical and mental health screenings; history of sexual abuse**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.82 – Access to emergency medical and mental health services**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.86 – Sexual abuse incident reviews**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

### **§115.87 – Data Collection**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**§115.88 – Data Review  for Corrective Action**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**§§115.89 – Data Storage,  Publication, and  Destruction**

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**AUDITOR CERTIFICATION:**

The auditor certifies that the contents of the report are accurate to the best of his/her knowledge and no conflict of interest exists with respect to his or her ability to conduct an audit of the agency under review.

Jack Falconer



Auditor Signature

Final Report 4 April, 2014

Date

4 April, 2014