

# PREA AUDIT: AUDITOR'S SUMMARY REPORT

## ADULT PRISONS & JAILS



<b>Name of facility:</b>		Federal Bureau of Prisons FCI Dublin	
<b>Physical address:</b>		5701 8 <sup>th</sup> Street, Dublin, CA 9458	
<b>Date report submitted:</b>		April 17, 2014 (Final May 16, 2014)	
<b>Auditor Information</b>		<b>Jo Glazier</b>	
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<b>Telephone number:</b>		518-470-3824	
<b>Date of facility visit:</b>		March 25-28, 2014	
<b>Facility Information</b>			
<b>Facility mailing address:</b> <i>(if different from above)</i>			
<b>Telephone number:</b>		925833 7500	
<b>The facility is:</b>	<input type="checkbox"/> Military	<input type="checkbox"/> County	<input checked="" type="checkbox"/> Federal
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		
<b>Facility Type:</b>	<input type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison	
<b>Name of PREA Compliance Manager:</b>		<b>Title:</b>	Assoc Warden
Jeffrey M. Powers			
<b>Email address:</b> <a href="mailto:jpowers@bop.gov">jpowers@bop.gov</a>		<b>Telephone number:</b>	925 833 7515
<b>Agency Information</b>			
<b>Name of agency:</b>		Federal Bureau of Prisons	
<b>Governing authority or parent agency:</b> <i>(if applicable)</i>		U.S. Department of Justice	
<b>Physical address:</b>		320 First St. NW Washington, DC 20534	
<b>Mailing address:</b> <i>(if different from above)</i>			
<b>Telephone number:</b>		202-307-3198	
<b>Agency Chief Executive Officer</b>			
<b>Name:</b>	Charles E Samuels Jr.	<b>Title:</b>	Director
<b>Email address:</b>	<a href="mailto:BOP-CPD/PREACoordinator@BOP.GOV">BOP-CPD/PREACoordinator@BOP.GOV</a>	<b>Telephone number:</b>	202-353-3506
<b>Agency-Wide PREA Coordinator</b>			
<b>Name:</b>	Sean Marler	<b>Title:</b>	National PREA Coordinator

# AUDIT FINDINGS

## NARRATIVE:

### The Audit Process

1. Transportation

The auditor was escorted to the facility by Chuck Ingram BOP Administrator and Christian Burch BOP Accreditation Manager.

2. Entrance Interview

On March 25, 2014, the auditor proceeded to the office of FCI Dublin Warden Andre Matevousian and expressed the appreciation of the Association for the opportunity to be involved with FCI Dublin in the accreditation process.

At 7:30 a.m. the Warden escorted the auditor to the Warden's Conference Room where the formal entrance meeting was held. In addition to BOP Central Office staff, the following facility personnel were in attendance:

Andre Matevousian, Warden

Shakaib S. Syed, Associate Warden of Operations

Jeffrey M. Powers, Associate Warden of Programs

Vincent Reed, Safety Manager/Accreditation Manager

Julie Hyde, Food Service Administrator

Carl Henry, Assistant Food Service Administrator

Antoinette Lindley, Associate Warden Secretary

It was explained that the goal of the auditor was to be as helpful and non-intrusive as possible during the conduct of the audit. The audit schedule was also discussed at this time.

3. Facility Tour

The auditor toured the entire facility from 8:00 a.m. to 2:30 p.m. on Tuesday and 7:00 a.m. to 11:00 am on Wednesday. The following persons accompanied the auditor on the tour and responded to the auditor's questions concerning facility operations:

Andre Matevousian, Warden

Shakaib S. Syed, Associate Warden of Operations

Jeffrey M. Powers, Associate Warden of Programs

Vincent Reed, Safety Manager/Accreditation Manager

Celeste Magana, Secretary/Scribe

Antoinette Lindley, Secretary/Scribe

Chuck Ingram, BOP Central Office

Christian Burch, BOP Central Office

4. Departmental Visits

Business Office

Medical

Dental

Psychology

Religious Services

Reentry

Food Service

Education

Special Housing Unit

Lock Shop

Special Investigative Office

Laundry

Safety

Facilities

Correctional Services

Compound-Correctional Services

Outside Patrol

Human Resources

R&D/Mail Room

Recreation

Commissary

Unit A/B

Unit C/D

Unit E/F

Tool Room

UNICOR

Command Center

Training Center

Computer Work Lab

Exercise Wellness Center for staff

Warehouses: Food Services, UNICOR, Facility

## **INTERVIEWS**

On March 25 -28, 2014 an audit was conducted at the Federal Correctional Institution Dublin to determine compliance with the Prison Rape Elimination Act standards finalized August 2012.

Documents reviewed during this audit included random sampling of staff training records, personnel files, volunteer training records, sexual harassment complaints, and inmate central files.

Formal interviews were conducted with 15 inmates selected at random from different housing units. Twelve random staff interviews were conducted and included Case Managers, Safety Manager, Pharmacist, Correction Officers, Senior Officers, Lieutenants, Captain, and Security Officers. In addition, specialized staff were interviewed that included: Warden, PREA Compliance Manager, Medical Administrator, Physician Assistant, Mental Health Psychologist, Human Resources Manager, Human Resources Specialists, Medical Contractor Doctor, Special Investigative Section Lieutenants, Intake and Risk Assessment Screeners, Incident Review Team member, SHU Lieutenant, Captain Charged with Monitoring Retaliation, and First Responders. .

## **DESCRIPTION OF FACILITY CHARACTERISTICS:**

Five days before the 2014 audit, FCI Dublin underwent an unexpected immediate mission change from housing pretrial and holdover detained inmates at the Federal Detention Center (FDC), after receiving a Facility Condition Assessment report from Kitchell, a private contracted engineering firm that provides construction and engineering guidance on the buildings occupied by the Federal Bureau of Prisons. The report identified the minimum Satellite Prison Camp (SPC) for female offenders was unsafe for

habitation. It was recommended that SPC "...be evacuated due to structural deficiencies and associated risks due to the high seismicity in this region." FCI Dublin is located in Northern California close to San Francisco Bay Area which has a high propensity to have several earthquakes in the region. FCI Dublin received authorization on March 20, 2014 to discontinue the mission at FDC, and transfer 107 male FDC inmates to other facilities, and relocate 188 general population female SPC inmates to the FCI/FDC. All FDC inmates were relocated by March 24, 2014. The SPC is expected to be vacated by April 1, 2014.

It is the mission of the FCI Dublin, California to protect society by confining offenders in a safe, humane and secure manner. In furthering this objective, this facility provides work programs, vocational training courses, and a variety of self-improvement programs to assist offenders in becoming law-abiding citizens.

FCI Dublin is located in Northern California approximately 20 miles southeast of Oakland, adjacent to the U.S. Army's Parks Reserve Forces Training Area. FCI is a low security facility for female offenders with a minimum security SPC that is being vacated and offenders relocated to the FCI and the former FDC. The institution has served many missions since its opening on July 19, 1974. The institution served as a co-correctional youth facility from 1974 through 1977, an adult female facility from 1977 through 1979, an adult co-correctional facility from 1979 through 1990, and again as an adult female facility from 1990 to present. The SPC opened on October 24, 1990, for adult males, and for adult females in 1995 with closure expected in April 2014. The FDC opened from June 30, 1989 to March 24, 2014 for adult males. FCI Dublin has a combined average daily population for the last twelve months of 1,165. FCI Dublin currently serves as the only Institution Hearing Program and Deportation site in the United States that receives female inmates.

At the FCI Dublin, inmate housing consists of three separate structures with two pods in each structure. The six pods have 61 and 56 double celled rooms. They also have a 16 bed Special Housing Unit (SHU).

The FDC has 122 cells, plus a three cell SHU for high security inmates.

The SPC has two housing units that provided for 328 inmates in open bay and multiple occupancy rooms.

The FCI provides structures that accommodate food services, warehouses, mechanical room, rear gate, inmate program spaces, public spaces, health services, laundry, commissary, garage, maintenance, and administrative offices.

[REDACTED]  
[REDACTED]. The entire site sits on a 92 acre site and has 338,587 square feet of buildings.

A variety of Educational and Psychological programs, to include the Residential Drug Abuse Program, are offered. Most programs are offered in English and Spanish. The institution utilizes the services of over 160 volunteers from the community to assist primarily in Religious Services. Programs provided include Pre-GED, GED and English as a Second Language. Post-secondary education is available in the form of correspondence courses. Vocational training is also available.

There are recreational activities, and a 40 hour drug education and intervention program. The SCP inmates are involved in Public Works Projects for the Camp Parks Military Reserve and the National Park Services (NPS). Specifically, on Camp Parks grounds, inmates complete sanitation inside the buildings and landscaping. [REDACTED]

FCI Dublin's UNICOR operates one factory and a satellite operation. The Call Center Customer Service Factory employs approximately 200 inmates. The factory provides an outbound call center in partnership with a private company that handles controlled circulation. Inmates enrolled in the Call Center program receive certification through the U.S. Department of Labor. The satellite operation consolidates shipments for USP Atwater's Recycling Factory through the UNICOR warehouse.

**SUMMARY OF AUDIT FINDINGS:**

Number of standards exceeded: 2

Number of standards met: 40

Number of standards not met: 0

Number of standards not applicable: 1

**Standard number here** 115.11

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.12

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.13

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.14

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**N/A no youthful offenders**

**Standard number here** 115.15

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.16

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.17

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.18

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

No renovations, modifications or expansions have occurred in the past year.

**Standard number here** 115.21

X Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

The facility uses Alameda Hospital for forensic exams. The hospital is only for victims of sexual abuse.

<b>Standard number here</b> 115.22
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

<b>Standard number here</b> 115.31
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

<b>Standard number here</b> 115.32
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

<b>Standard</b> 115.33
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number here

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Interviews with inmates revealed that substantial efforts have been made to ensure all inmates have received the training.

**Standard number here** 115.34

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.35

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.41

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Confirmed by documentation and interviews.

**Standard number here** 115.42

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

There were no transgender or intersex inmates housed at the facility.

**Standard number here** 115.43

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.51

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on inmate and staff interviews and review of training records/P&P

**Standard number here** 115.52

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on review of Policy/Procedures and interviews.

**Standard number here** 115.53

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on review of P/P, inmate handbook and all inmates interviewed confirmed ability to contact an outside source.

**Standard number here** 115.54

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Confirmed in inmate interviews.

**Standard number here** 115.61

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified during interviews with staff and review of P/P

**Standard number here** 115.62

- Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified during interviews with staff and P/P review.

<b>No Standard number here</b> 115.63
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified during interviews with staff and P/P review.

<b>Standard number here</b> 115.64
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Staff interviews confirmed that staff are well-trained in these requirements.

<b>Standard number here</b> 115.65
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Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in review of Institutional Plan.

<b>Standard number here</b> 115.66
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- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.67

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in staff interviews and P/P review.

**Standard number here** 115.68

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in staff interviews and P/P review.

**Standard number here** 115.71

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in review of P/P

**Standard number here** 115.72

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Based on interview with Investigator.

<b>Standard number here</b> 115.73
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- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

<b>Standard number here</b> 115.76
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- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

<b>Standard number here</b> 115.77
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- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified by review of P/P.

**Standard number here** 115.78

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in interviews and P/P review.

**Standard number here** 115.81

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in inmate interview and review of P/P.

**Standard number here** 115.82

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified with interviews and P/P review.

**Standard number here** 115.83

- X Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

The facility offers a Resolve Program which consists of two components: the Trauma in Life workshop (an educational component) and the Non-Residential Treatment Program (a treatment component).

**Standard number here** 115.86

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

Verified in P/P review and interviews.

**Standard number here** 115.87

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.88

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**Standard number here** 115.89

Exceeds Standard (substantially exceeds requirement of standard)

XMeets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

**Auditor comments, including corrective actions needed if does not meet standard**

**AUDITOR CERTIFICATION:**

The auditor certifies that the contents of the report are accurate to the best of his/her knowledge and no conflict of interest exists with respect to his or her ability to conduct an audit of the agency under review.

Auditor Jo Glazier \_\_\_\_\_ April 17, 2014 (Final May 16, 2014)